

# Formality Theorem

## ① Formal deformations

Let  $k$  be a field.

$A$  be an associative algebra over  $k$ .

$k[[t]]$  - ring of formal power series over  $k$ .

$A[[t]]$  -  $k[[t]]$ -module of formal power series

$$\sum_{n=0}^{\infty} a_n t^n \text{ with } a_n \in A.$$

Def. A formal deformation of  $A$  is an associative  $k[[t]]$  bilinear map

$$m: A[[t]] \times A[[t]] \rightarrow A[[t]]$$

Such that for  $a, b \in A$  we have

$$m(a, b) = ab + \sum_{i=1}^{\infty} m_i(a, b) \cdot t^i \quad (*)$$

in which  $ab$  is a usual product on  $A$ .

Rem. Sometimes  $m$  is denoted by  $\star$ , and is called  $\star$ -product.

• (\*) means that

$$a \star v \equiv uv \pmod{t \cdot A[[t]]}$$

for all formal power series  $u, v \in A[[t]]$ .

• Associativity of  $m$  can be formal expressed as

$$m(m(a, b), c) - m(a, m(b, c)) = 0$$

for all  $a, b, c \in A$ .

(2)

Def. 2 An associative algebra  $A$  is called

Poisson algebra if it comes along with a bracket

$\{, \} : A \times A \rightarrow A$  which satisfies

the following rules

$$(1) \{a, \{b, c\}\} + \{b, \{c, a\}\} + \{c, \{a, b\}\} = 0$$

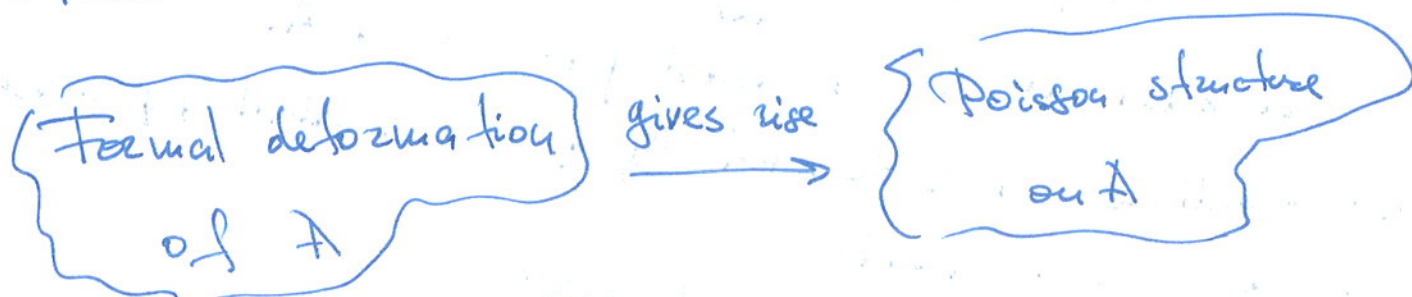
for all  $a, b, c \in A$ , and

$$(2) \{a, bc\} = \{a, b\}c + b\{a, c\}$$

( $\sim \{, \}$  acts as derivation on product in  $A$ ).

Example Any associative algebra  $A$  with bracket  
 $\{a, b\} = ab - ba$ .

these two structures are naturally linked.



Lemma Given formal deformation  $m : A[[\hbar]] \times A[[\hbar]] \rightarrow A[[\hbar]]$   
its first order part gives rise to a Poisson  
bracket as

$$\{a, b\} := \frac{1}{2} (m_1(a, b) - m_1(b, a))$$

Proof.  $m(a, b)$  is associative. Hence, in particular (3)

$$m(a, m(a, b)) = m(m(a, b), c) \quad \forall a, b, c \in A.$$

and then Jacobi identity follows.

(2) is also easy to verify.  $\square$ .

### Deformation Quantization Problem:

Given a Poisson algebra  $A$  find formal deformation  $m: A[[\hbar]] \times A[[\hbar]] \rightarrow A[[\hbar]]$ , such

$$\text{that } \{a, b\} = \frac{1}{2} (m(a, b) - m(b, a)).$$

Critical point:  $m$  should be associative.

Theorem (Kontsevich, 97) If  $A = C^\infty(M)$  is the algebra of smooth functions on differentiable manifold  $M$

$\Rightarrow$  each Poisson bracket lifts to associative formal deformation.

② Examples:

a) Consider  $M = \mathbb{R}^2$  with Poisson bracket

$$\{f, g\} = \frac{\partial f}{\partial x_1} \frac{\partial g}{\partial x_2} - \frac{\partial f}{\partial x_2} \frac{\partial g}{\partial x_1}$$

$\Rightarrow$  Kontsevich's construction yields to formal deformation of  $C^\infty(\mathbb{R}^2)$ .

$$f * g = f \cdot g + \frac{\partial f}{\partial x_1} \frac{\partial g}{\partial x_2} \frac{\hbar}{1} + \dots + \frac{\partial^4 f}{\partial x_1^4} \frac{\partial^4 g}{\partial x_2^4} \frac{\hbar^4}{4!} + \dots$$

b) Consider  $\mathfrak{g}$  - finite-dimensional real Lie algebra  
 $\mathfrak{g}^*$  - its dual,  $A = C^\infty(\mathfrak{g}^*)$  - smooth functions on  $\mathfrak{g}^*$ .

It is just standard that given  $f \in A$  and  $x \in \mathfrak{g}^*$  then  $(df)_x$  is an element of  $\mathfrak{g}$ . Using this identification we define a Poisson bracket on  $A$  by

$$\{f, g\}(x) = x([df_x, dg_x]), \quad x \in \mathfrak{g}^*$$

Kontsevich's construction gives a canonical associative product  $*$  on  $A[[\hbar]]$ , which is linked with  $U(\mathfrak{g})$ .

Let  $S(\mathfrak{g})$  be symmetric algebra on  $\mathfrak{g}$ . Then  $S(\mathfrak{g})$  are polynomial functions on  $\mathfrak{g}^*$  via

the pairing  $\mathfrak{g}^* \times S(\mathfrak{g}) \rightarrow \mathbb{R}$ . Moreover

$B = S(\mathfrak{g})[[\hbar]]$  is  $*$ -subalgebra of  $A[[\hbar]]$ .

Moreover the inclusion  $\mathfrak{g} \rightarrow \mathcal{B}$  induces an isomorphism

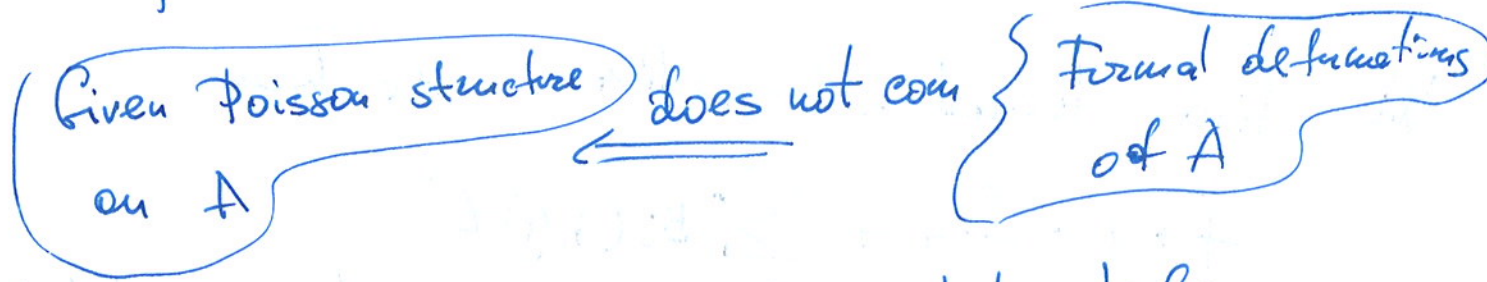
$$U_{\text{hom}}(\mathfrak{g}) \xrightarrow{\sim} S(\mathfrak{g})[[t]]$$

where  $U_{\text{hom}}(\mathfrak{g})$  - homogeneous enveloping algebra, i.e.  $\mathbb{R}[[t]]$ -algebra generated by  $\mathfrak{g}$  with rel.

$$XY - YX - t[X, Y], \quad X, Y \in \mathfrak{g}$$

$$\Rightarrow S(\mathfrak{g})[[t]] / ((1-t)\mathcal{B}) = U_{\text{hom}}(\mathfrak{g}) / (1-t)U_{\text{hom}}(\mathfrak{g}) \cong U(\mathfrak{g}).$$

### Example (Mathieu)



"Outlines" Take  $\mathfrak{g}$  - finite-dim real Lie algebra  $\mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$  - simple and not isomorphic to  $\mathfrak{sl}_2(\mathbb{C})$ .

Bracket of  $\mathfrak{g}$  extends to Poisson bracket on  $S(\mathfrak{g})$   
 $A = S(\mathfrak{g}) / \mathfrak{g}^2$ ,  $\mathfrak{g}^2$  - all monomials in  $S(\mathfrak{g})$  generated by mon. of degree 2. - which is Poisson ideal.

Hence  $A$  is Poisson algebra.

By some consideration Mathieu showed that  $\{, \cdot \}$  cannot come from formal deformation.

③ Kontsevich's "explicit" formula

Let  $d \geq 1$ ,  $M$  - non-empty open subset of  $\mathbb{R}^d$ .

$A = C^\infty(M)$  - smooth functions,

$\{, \cdot \}$  - Poisson bracket on  $A$ .

Lemma

There are unique smooth functions  $\alpha^{ij}$   $1 \leq i < j \leq d$  such that

$$\{f, g\} = \sum_{i < j} \alpha^{ij} \frac{\partial f}{\partial x_i} \frac{\partial g}{\partial x_j}, \text{ i.e. for any } f, g \in C^\infty(A)$$

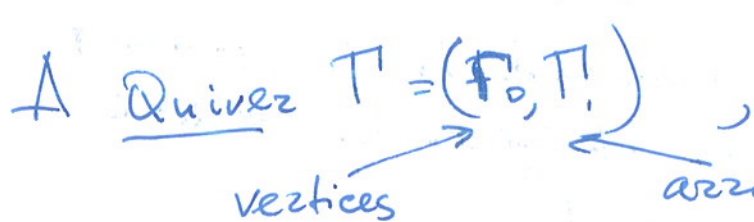
$$\{f, g\} = \sum_{i < j} \alpha^{ij} \left( \frac{\partial f}{\partial x_i} \frac{\partial g}{\partial x_j} - \frac{\partial g}{\partial x_i} \frac{\partial f}{\partial x_j} \right).$$

We find formal deformation in the form

$$f * g = f \cdot g + \sum_{i=1}^{\infty} B_i(f, g) t^i$$

Find  $B_i(f, g)$  - as combinations of partial derivatives of  $f, g \in A$ .

For this we need some combinatorics.



$$s, t: \Gamma_1 \rightarrow \Gamma_0$$

associate for any arrow  $a$  its source and target

$$s(a) = 2, \quad s(e) = t(e) = 1 \\ t(a) = 1$$

Let  $n \geq 0$ , We define  $G_n$  - to be the set of quivers  $\Gamma$  such that:

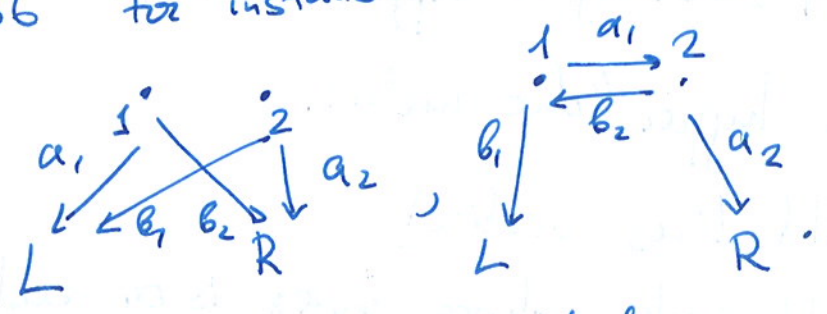
- $\Gamma_0 = \{1, \dots, n\} \cup \{L, R\}$ ,  $L, R$  two symbols.
- $\Gamma_1 = \{a_1, b_1, \dots, a_n, b_n\}$   $a_i, b_i$  - symbols.
- for each  $i$ ,  $s(a_i) = s(b_i) = i$
- $\Gamma$  has no loops, has no double arrows.

Examples

$$G_0 = \left\{ \begin{matrix} \Gamma \\ L \quad R \end{matrix} \right\}$$

$$G_1 = \left\{ L \xleftarrow{a_1} \underset{1}{\bullet} \xrightarrow{b_1} R \right\} \cup \left\{ L \xleftarrow{b_1} \underset{1}{\bullet} \xrightarrow{a_1} R \right\}$$

$|G_2| = 36$  for instance



$$|G_n| = (n(n+1))^n$$

Given  $\Gamma \in G_n$  we ~~have~~ define

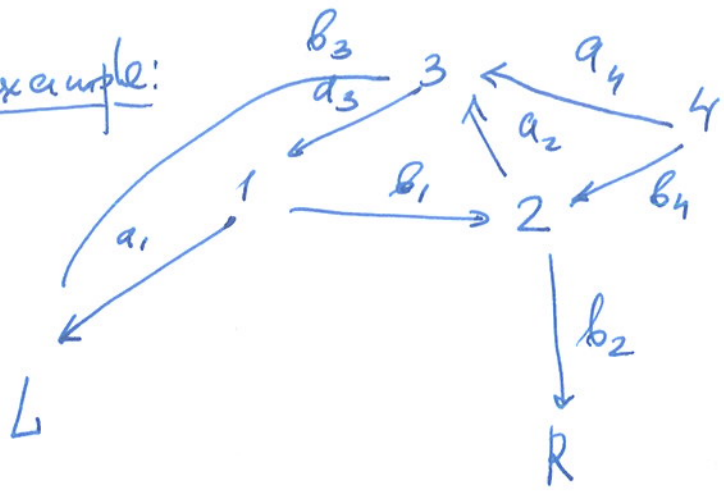
$$Crazy_{\Gamma, \alpha}(f, g) = \sum \left( \prod_{i=1}^n \left( \prod_{a \in \Gamma(?; i)} \alpha^{I(a)} \right) \right)$$

$$\cdot \left( \prod_{a \in \Gamma(?; L)} \alpha^{I(a)} \right)(f) \cdot \left( \prod_{a \in \Gamma(?; R)} \alpha^{I(a)} \right)(g)$$

Sum ranges over all maps  $I: \Gamma \rightarrow \{1, \dots, d\}$

$\Gamma(?; \sigma)$  - arrows with target  $\sigma$ .

For example:



In this case

$$C_{T,d}(f, g) = \sum (\partial_{i_3} \alpha^{i_1, j_1}) (\partial_{j_1} \partial_{j_4} \alpha^{i_2, j_2}) (\partial_{i_2} \partial_{i_4} \alpha^{i_3, j_3}) (\partial_{i_1} \partial_{j_3} f) (\partial_{j_2} g).$$

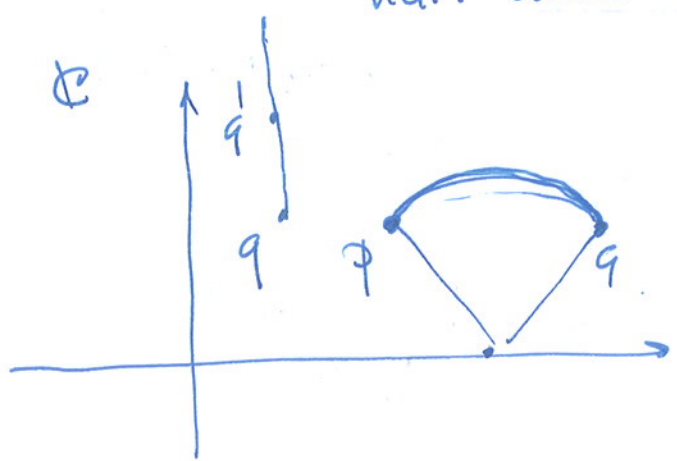
We define  $B_n(f, g) = \sum_{T \in G_n} w_T \cdot B_{T,d}$

For some  $w_T$  which we gonna define.

Let  $\mathcal{H} = \{z \in \mathbb{C} \mid \text{Im} z > 0\}$  - upper half plane.

Endow  $\mathcal{H}$  with hyperbolic metric.

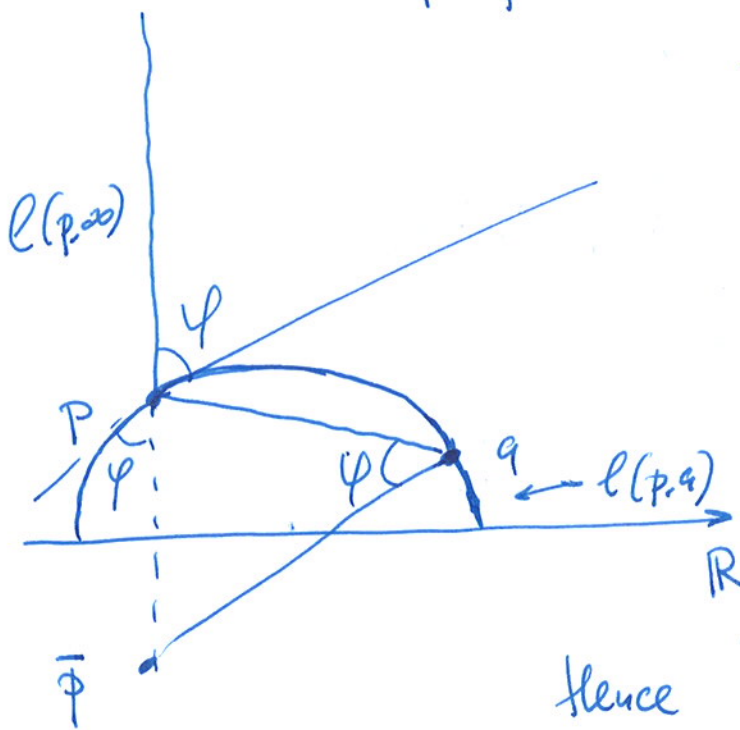
Geodesics: half-lines vertical, half-circle whose center is on real axis



For two distinct points  $p, q \in \mathcal{H}$ , let  $l(p, q)$  be geodesic from  $p$  to  $q$   
 $l(p, \infty)$  half-line from  $p$  to infinity.



We denote  $\varphi(p, q)$  the angle between  $l(p, \infty)$ ,  $l(p, q)$ .



Exercise show that

$$\varphi(p, q) = \arg \left( \frac{q-p}{q-\bar{p}} \right) = \frac{1}{2i} \log \left( \frac{q-p}{q-\bar{p}} \cdot \frac{\bar{q}-\bar{p}}{\bar{q}-p} \right)$$

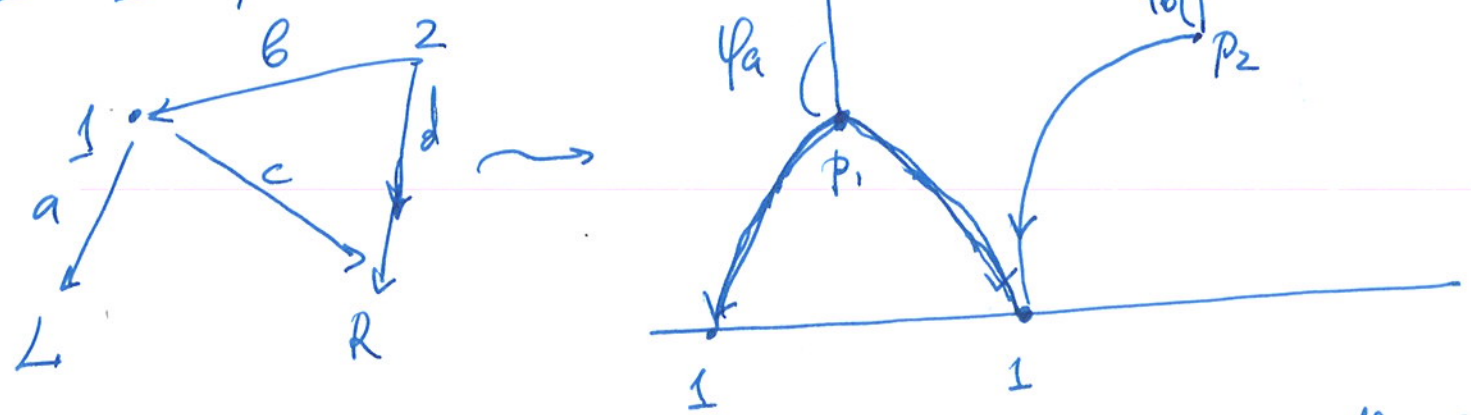
hence  $\varphi(p, q)$  is analytic

Given  $n \geq 0$ , let  $\mathcal{H}_n$  be the set of  $n$ -tuples  $(p_1, \dots, p_n)$  of distinct points of  $\mathbb{H}$ .

"Geometrically"  $\mathcal{H}_n$  is the set of "geodesic drawings":

- vertices  $1, \dots, n$  of  $T \in \mathcal{G}_n \mapsto$  points  $p_i$
- $\mapsto$  O.I on real axis
- vertices  $L, R$
- arrows  $i \rightarrow j \mapsto$  geodesic segment from  $p_i$  to  $p_j$ .

For example



Define a function  $\varphi_a(p_1, \dots, p_n) = \varphi(p_{s(a)}, p_{t(a)})$   $\varphi_a: \mathcal{H}_n \rightarrow \mathbb{R}$

for each arrow  $a$  of  $\Gamma$ .

(10)

Finally

$$\omega_{\Gamma} := \frac{1}{(2\pi)^n} \int_{\mathcal{H}_n} \bigwedge_{i=1}^n d\varphi_{a_i} \wedge d\varphi_{b_i}$$

Lemma Integral converges absolutely.

Theorem (Kontsevich)

$$f * g = \sum_{n=0}^{\infty} \frac{t^n}{n!} \sum_{\Gamma \in \mathcal{G}_n} \omega_{\Gamma} \cdot \text{Crazy}_{\Gamma, \alpha}(f, g).$$

defines a formal quantization of  
poisson bracket on  $A = C^{\infty}(M)$ .